

Home Search Collections Journals About Contact us My IOPscience

Electronic and optical properties of CeO under pressure

This article has been downloaded from IOPscience. Please scroll down to see the full text article.

1989 J. Phys.: Condens. Matter 1 1169

(http://iopscience.iop.org/0953-8984/1/7/001)

View the table of contents for this issue, or go to the journal homepage for more

Download details: IP Address: 171.66.16.90 The article was downloaded on 10/05/2010 at 17:45

Please note that terms and conditions apply.

# Electronic and optical properties of CeO under pressure

S K De and S Chatterjee

Department of Materials Science, Indian Association for the Cultivation of Science, Calcutta 700 032, India

Received 16 March 1988, in final form 10 August 1988

**Abstract.** The volume behaviour of cerium monoxide (CeO) is interesting as a function of pressure. The volume decreases rapidly up to 3 GPa and decreases more slowly above 3 GPa. In this work, we present the fully self-consistent band structure of CeO for four different values of lattice constant corresponding to four different pressures, using the linearised augmented plane-wave method. The local density formulation has been assumed for the exchange and correlation part of the potential. From the band-structure results, we have calculated the valency of Ce in CeO. The calculated valency increases from 3.0 to 3.26 with increase in pressure. From the band-structure results, the density of states, the coefficient of linear specific heat and the optical absorption spectra have been calculated.

## 1. Introduction

The rare-earth monoxides have been extensively studied by using high-pressure techniques (Leger *et al* 1981). These compounds crystallise in the NaCl structure and show a variety of interesting properties.

(i) LaO, CeO, PrO and NdO are metallic with the rare earth in the trivalent state.(ii) EuO and YbO are semiconductors with the rare earth in the divalent state.(iii) SmO is metallic with Sm in an intermediate valence state close to 3.

Among these compounds, again CeO with a lattice constant of 5.089 Å is unique, as the Ce–Ce distance  $d_{nn}$  of 3.60 Å is the shortest found with Ce in the  $\gamma$ -like state. Hill plot correlations (Hill 1970, Pickett and Klein 1983) show that many compounds with  $d_{nn}$  less than a critical value of 3.40 Å are non-magnetic, i.e. in an  $\alpha$ -like state while those with  $d_{nn} > 3.40$  Å are magnetic, i.e. in a  $\gamma$ -like state. Hence the Ce–Ce distance (3.60 Å) for CeO is very close to the critical value of 3.40 Å for the occurrence of the  $\gamma$  to  $\alpha$ transition where the Ce magnetic moment disappears and the volume sharply decreases. This  $\gamma$  to  $\alpha$  transition in Ce metal and compounds is mainly due to the interaction of f and d electrons. To intepret the  $\gamma$  to  $\alpha$  transition, two prominent models have been suggested:

(i) a partial 4f change (Wohlleben and Rowler 1984);

(ii) a volume-dependent Kondo interaction (Lavagna *et al* 1983, Allen and Martin 1982).

As the Ce–Ce distance in CeO is close to the critical value for the  $\gamma$  to  $\alpha$  transition, the effect of a high pressure on CeO would be important in order to detect the possible



Figure 1. Relative volume of CeO as a function of pressure (Vedel et al 1986).

presence of a volume anomaly indicative of an electronic transition. The pressurevolume relationship was studied by Vedel *et al* (1986) up to 25 GPa at room temperature as shown in figure 1. The volume decrease strongly with pressure up to about 3 GPa and then decreases much more slowly. In the lower-pressure range below 3 GPa, the decrease in volume can be represented by the mean bulk modulus of 30 GPa (Vedel *et al* 1986). An extrapolation of the values observed for trivalent rare-earth monochalcogenides LaS or LaTe (Jayaraman *et al* 1982) indicates that the bulk modulus of CeO would be close to 125 GPa, much higher than the experimental value of 30 GPa. The lattice parameter of the high-pressure phase calculated at normal pressure is equal to 4.975 Å compared with 5.089 Å in the normal state. From the decrease in lattice parameter, Vedel *et al* (1986) estimated a valence of 3.3 in the high-pressure phase. The high-pressure value of bulk modulus (171 GPa) and its pressure derivative (4.8 GPa) above 3 GPa indicate that the valence state of 3.3 reached at 3 GPa is stable over a large pressure range.

Theoretically, many band-structure calculations on Ce and its compounds have been done, but no such work on CeO has yet been carried out. As the Ce–Ce distance in CeO is nearer to the value for the  $\gamma$  to  $\alpha$  transition in Ce, therefore it is highly imperative to study the band structure of CeO as a function of lattice parameter similar to that of Ce (Pickett *et al* 1981) and Pr (De and Chatterjee 1987). In this paper we report a thorough band structure calculation by self-consistent linearised augmented plane-wave (LAPW) method of CeO for four lattice constants. We have applied a general form of local density functional theory to study the electronic properties of CeO for a range of atomic volumes between that of normal pressure and a pressure of 25 GPa. From the band-structure results, the density of states (DOS), the electron-phonon coupling constant  $\lambda$ , the coefficient  $\gamma$  of linear specific heat and the imaginary part  $\varepsilon_2(\omega)$  of the dielectric function have been calculated.

#### 2. Method of calculation

The details of the self-consistent semi-relativistic LAPW method have been described by many researchers (Koelling and Arbman 1975, Koelling and Harmon 1977, MacDoland *et al* 1980, De and Chatterjee 1987). The local density approximation of Von Barth and

Hedin (1972) has been used to form the exchange and correlation parts of the crystal potential. The charge density  $\rho(r)$  has been calculated by finding the crystal wavefunctions at 20 *k*-points inside one-fortyeighth of the Brillouin zone. The self-consistence is obtained without spin-orbit (s-0) interaction. The values of the lattice constant and the muffin-tin radius  $R_{\rm MT}$  used in this calculation are given in table 1.

a (Å)	$R_{\rm MT}({\rm Ce})$ (au)	$R_{\rm MT}({\rm O})$ (au)	$E_{\rm F}({\rm Ryd})$	$\varepsilon ({ m eV})$	v
$a_1 = 9.6182$	2.7577	2.0513	0.660	2.15	3.00
$a_2 = 9.3873$	2.6887	2.0048	0.719	2.45	3.14
$a_3 = 9.2862$	2.6522	1.9908	0.748	2.56	3.19
$a_4 = 9.1110$	2.6254	1.9300	0.792	2.71	3.26

**Table 1.** Input parameters for LAPW calculations, lattice constant *a* and muffin-tin radius  $R_{\text{MT}}$ .  $E_{\text{F}}$  is the Fermi energy and *v* is the valency of Ce.

We have only studied the effect of s-0 interaction at  $\Gamma$  and X points. The calculated values of  $\xi_l^0$ ,  $\xi_l^1$ ,  $\xi_l^2$  following Pickett *et al* (1981) are shown in table 2 for normal- and high-pressure phases. From table 2, it is seen that the maximum splitting occurs for the Ce p-like (l = 1) states which are not important since they are not in the energy range under consideration. The splitting for the O p-like state is small, however.

	l	а	$\xi_l^0$ (mRyd)	$\xi_l^1$ (mRyd)	$\xi_l^2$ (mRyd)
Ce	1	$a_1 \\ a_4$	111.8	-3.4	0.1
Ce	1		122.7	3.1	0.08
Ce	2	$a_1 \\ a_4$	5.6	2.0	0.7
Ce	2		6.1	1.7	0.5
Ce	3	$a_1 \\ a_4$	3.4	2.6	2.1
Ce	3		3.5	2.3	1.6
0 0	1 1	$a_1 \\ a_4$	0.9 0.9	0.4 0.3	$\begin{array}{c} 0.1 \\ 0.1 \end{array}$

**Table 2.** Values of  $\xi_l^0$ ,  $\xi_l^1$  and  $\xi_l^2$ .

#### 3. Band structure and density of states

The calculated band structures for the lattice constants  $a_1$  and  $a_4$  corresponding to the normal- and high-pressure phases, along the major symmetry axes in the Brillouin zone are shown in figures 2 and 3. Since the s-o splitting is small as shown in table 2, we do not show this in the band-structure diagrams. The band structure consists of the occupied O 2p band and unoccupied s, d and partially occupied f bands of Ce.



Figure 2. Band structure of CeO for the lattice constant  $a_1$ , along the symmetry points and axes.  $E_F$  is the Fermi energy.

Some important features can be observed from the general behaviour of the bands throughout the range of the atomic volume. The f bands, with the  $\Delta_{2'}$  singlet lying lowest, lie about 5 eV above the 2p-derived valence band of oxygen. The triplet  $\Gamma_{15}$  and  $\Gamma_{25}$  state of f bands lies above the Fermi level  $E_{\rm F}$ . The d and s bands are broad conduction bands. The f bands are therefore immersed in a sea of s-d conduction bands. There exists a gap between the 2p valence bands and the f-d-s conduction bands. Hybridisation is strongest between the  $\Delta_1$ ,  $\Delta_5$  (from  $\Gamma_{15}$  at  $\Gamma$ ) f and 2p bands, the  $\Delta_{2'}$  f and d bands and the  $\Delta_1$ ,  $\Delta_5$  (from  $\Gamma_{15}$  at  $\Gamma$ ) f and 2p bands, the  $\Delta_{2'}$  f and d bands and the  $\Delta_1$  2p and d (from  $\Gamma_{12}$  at  $\Gamma$ ) bands. The first of these interactions produces bonding and anti-bonding  $\Gamma_{15}$  states and pushes the anti-bonding  $\Gamma_{15}$  triplet above the  $\Gamma_{25}$  triplet, inverting the f crystal-field levels. The interaction between  $\Delta_{2'}$  f and d singlet states produces a bonding band that lies almost entirely in the gap. The interaction between the  $\Delta_1$  2p and d bands pushes the anti-bonding band up at X so that it is no longer the lowest lying of the conduction band states. The results of s-o splitting are shown in table 3 at the  $\Gamma$  and X points for  $a_1$  and  $a_4$ . The important effect of s-0 splitting is to increase the f-band width  $W_{\rm f}$  from 83 to 97 mRyd for  $a_1$  and from 119 to 130 mRyd for  $a_4$ .

An investigation of the charge distribution for  $a_1$  and  $a_4$  in each LAPW sphere in table 4 shows that, in the lowest three bands, the O 2p state is dominant. In these three bands, (Ce f-state mixes), for example the f component in the  $\Gamma_{15}$  state of 2p state is 10% of the



**Figure 3.** Band structure of CeO for the lattice constant  $a_4$ , along the symmetry points and axes.  $E_F$  is the Fermi energy.

	Eigenvalue (mRyd) at Γ				Eigenvalue (mRyd) at X					
	<i>a</i> <sub>1</sub>		<i>a</i> <sub>4</sub>		<i>a</i> <sub>1</sub>		<i>a</i> <sub>4</sub>			
	No s-o	<b>S</b> -O	No s-o	SO	No s-o	SO	No s-o	s-0		
Γ <sub>15</sub>	315	311	408	401	348	335	468	456		
		318		411		351		474		
					365	371	515	521		
$\Gamma_{2'}$	643	641	764	763	485	485	565	565		
$\Gamma_{25'}$	728	725	852	847	678	675	816	814		
		732		855	691	686	835	831		
					708	703	854	849		
						711		858		
$\Gamma_{25}$	710	700	875	866	720	725	869	873		
		712		876	737	732	894	888		
						746		903		
$\Gamma_{15}$	726	722	883	881	922	922	1135	1134		
		738		893	1075	1074				
					1160	1155				
$\Gamma_1$	698	698	905	905		1166				
$\Gamma_{12}$	909	910	1073	1074						

Table 3. Eigenvalues at  $\Gamma$  and X, showing the effect of including the s-0 interaction.

	States	Energy (Ryd)	Ce sphere	O sphere	Interstitial
$a_1$	Γ <sub>15</sub>	0.315	0.10(f)	0.75(p)	0.12
$a_1$	$\Gamma'_2$	0.643	0.87(f)		0.12
$a_1$	$\mathbf{X}_5'$	0.348	0.05(p), 0.05(f)	0.76(p)	0.12
$a_1$	$X'_4$	0.365	0.12(p)	0.75(p)	0.12
$a_1$	$\mathbf{X}_3$	0.485	0.45(d)		0.51
$a_1$	$\mathbf{W}_1$	0.242	0.07(d)	0.69(p)	0.17
$a_1$	W 3	0.345	0.09(p)	0.71(p)	0.14
$a_1$	$W_3$	0.650	0.18(p), 0.53(f)		0.24
$a_1$	$L_1$	0.175	0.07(s), 0.08(d)	0.54(p)	0.30
$a_1$	$L_3$	0.223	0.13(d)	0.69(p)	0.17
$a_1$	L'2	0.662	0.89(f)		0.09
a 4	$\Gamma_{15}$	0.408	0.11(f)	0.71(p)	0.13
$a_4$	$\Gamma'_2$	0.764	0.84(f)		0.15
$a_4$	$\mathbf{X}_5'$	0.468	0.08(p), 0.07(f)	0.72(p)	0.12
$a_4$	$\mathbf{X}_4'$	0.515	0.15(p)	0.74(p)	0.10
$a_4$	$\mathbf{X}_3$	0.565	0.43(d)		0.53
$a_4$	$\mathbf{W}_1$	0.318	0.08(d)	0.67(p)	0.19
$a_4$	$W_3$	0.480	0.13(p)	0.70(p)	0.12
$a_4$	$W_3$	0.772	0.20(d), 0.47(f)		0.28
$a_4$	L	0.253	0.07(s), 0.09(d)	0.52(p)	0.30
$a_4$	$L_3$	0.290	0.14(d)	0.66(p)	0.18
<i>a</i> <sub>4</sub>	L'2	0.791	0.87(f)		0.10

**Table 4.** Valence charge distribution of some selected states.

total and that in the  $X_{5'}$  state is 5%. This p–f mixing occurs only along the  $\Gamma X$  direction. The occupied  $\Gamma_{2'}$  state is purely f character. The f character of 87% at the  $\Gamma_{2'}$  state of the  $\Delta_{2'}$  band decreases along  $\Gamma X$  and this becomes purely d (45%) character at the X point. Thus this band hybridises strongly with another  $\Delta_{2'}$  band derived from  $\Gamma_{25'}$  of the d state along the  $\Gamma X$  axis. From table 4, we also observe that the p character of the lowest three bands decreases and the f character increases on reduction in the volume. This indicates that the p–f mixing along the  $\Gamma X$  direction has a tendency to increase with increase in pressure.

The behaviour of the eigenvalues at the  $\Gamma$  and X points on reduction in the volume is shown in figure 4. The  $\Gamma_1$  s state is extremely sensitive to volume, moving upwards rapidly as the volume is decreased. The f-band width increases from 83 to 119 mRyd on increase in pressure for the normal pressure to about 25 GPa. The f-band energies also increase as the volume decreases, pulling  $E_F$  with them and increasing the separation between the valence bands and the Fermi level. From figure 4, we also observe that the X<sub>3</sub> point is also more sensitive than the other X points. The gap between the X<sub>4'</sub> and X<sub>3</sub> states decreases from 120 to 50 mRyd on reduction in volume. This is due to the increased hybridisation between  $\Delta_1$  p and f bands and  $\Delta_{2'}$  f and d bands on increase in pressure. The L<sub>2'</sub> state, which is at  $E_F$  at normal pressure, moves up 10 mRyd from  $E_F$  at high pressures.

The DOS has been calculated by the tetrahedron method (Jepsen and Andersen 1971, Lehman and Taut 1972). For this purpose, first-principles eigenvalues are calculated at 89 points and are interpolated linearly within each tetrahedron. The results of these calculations are shown in figure 5. The DOS consists of a huge peak arising from the f



**Figure 4.** Behaviour of eigenvalues at  $\Gamma$  and X on reduction in volume:  $\bigcirc$ , calculation results for the four lattice constants listed in table 1.

bands. The DOS at  $E_F$  decreases from 29.89 states Ryd<sup>-1</sup> to 17.46 states Ryd<sup>-1</sup>. Throughout the range of the atomic volume, the occupied part of the DOS exhibits three peaks. The first peak below  $E_F$  is due to the Ce f states and the second and third peaks are due to the O p. The height of the second peak decreases more rapidly than does that of the third peak on increase in pressure, as observed in figure 5. The energy positions of these peaks do not change appreciably on increase in pressure. The unoccupied part of the DOS consists of mainly three peaks. These peaks are mainly derived from Ce d states.

The angular-momentum-decomposed DOS at  $E_{\rm F}$  and the corresponding charges inside the spheres are shown in table 5. The Ce 6s electrons are mainly distributed between the muffin-tin spheres. The number of Ce d electrons increases and the number of O p electrons decreases on increase in pressure. The number of f electrons decreases from 0.994 to 0.926 on increase in pressure. Thus f-to-d-electron transfer occurs since the f band increases in energy relative to the conduction d band.

The valency determination of rare earths is of great importance. The valency of rare earths depends on the position of the f band in the conduction band and the hybridisation of f and d states. The strong hybridisation between f and d states occurs along the singlet  $\Delta_{2'}$  band derived from the  $\Gamma_{2'}$  state. Hence the parameter  $\varepsilon = \varepsilon(\Gamma_{2'}) - \varepsilon(X_3)$  is important for the determination of valency. Since there is no theoretical first-principles formula for valency v as a function of  $\varepsilon$  for the rare earths, we use the empirical relation obtained



Figure 5. Doss for the three lattice constants  $a_1$ ,  $a_2$  and  $a_4$  as a function of energy.

by Forberovich *et al* (1984) for TmS. Following these workers, if we assume the relation to be

$$v = 2 + \varepsilon/2.15\tag{1}$$

we find a v-value of 3 for Ce in CeO at normal pressure. To study the effect of pressure on v, we substituted the value of  $\varepsilon$  in equation (1) from our band-structure calculation; the values of v are shown in table 1. The value of v obtained at high pressures is 3.26 which is in good agreement with the experimental value of 3.30 (Vedel *et al* 1986). However, the *v* estimated from equation (1) relates very poorly to the changes in the f occupation number given in table 5. To obtain better agreement, however, one would require better theoretical understanding of the problem. From the occupation numbers given in table 5, we observe that the number of f electrons decreases while the number of d electrons increases on increase in pressure. This indicates that the valency of Ce in CeO increases on increase in pressure; this is also found experimentally (Vedel *et al* 1986).

		Ce					0				
		s	р	d	f	g	s	р	d	Interstitial	Total
$N_i(E_{\rm F})$	<i>a</i> ,	0.001	0.235	1.465	23.681	0.017	0.041	0.213	1.465	2.774	29.892
$N_l(E_{\rm F})$	$\dot{a_2}$	0.001	0.213	1.421	18.949	0.017	0.034	0.219	0.158	3.634	24.646
$N_l(E_{\rm F})$	$a_3$	0.001	0.213	1.395	16.544	0.018	0.035	0.222	0.156	3.533	22.117
$N_l(E_{\rm F})$	$a_4$	0.001	0.196	1.240	12.744	0.017	0.034	0.181	0.126	2.925	17.464
$Q_l$	$a_1$	0.075	0.229	0.824	0.994	0.013	0.007	4.178	0.033	1.647	8.000
$\tilde{Q}_{l}$	$a_2$	0.072	0.273	0.837	0.955	0.013	0.009	4.119	0.036	1.686	8.000
$Q_l$	$a_3$	0.071	0.295	0.828	0.935	0.013	0.010	4.110	0.038	1.700	8.000
$Q_l$	$a_4$	0.074	0.335	0.855	0.926	0.015	0.013	4.023	0.037	1.722	8.000

**Table 5.** Angular-momentum decomposed muffin-tin DOS  $N_l(E_F)$  at  $E_F$  and corresponding charges  $Q_l$ .

## 4. Specific heat

We have calculated the linear contribution to the specific heat  $\gamma$  at normal and high pressures. The value of  $\gamma$  has been calculated from the relation

$$\gamma = (\pi^2 k_{\rm B}^2/3)(1+\lambda)N(E_{\rm F})$$
<sup>(2)</sup>

where  $k_{\rm B}$  and  $\lambda$  are Boltzmann's constant and the electron-phonon coupling constant respectively. Since the atomic mass  $M_{\rm Ce}$  of Ce is much greater than the atomic mass  $M_{\rm O}$  of oxygen,  $\lambda$  is given in terms of Ce and O sites by (Klein and Papaconstantopoulos 1976)

$$\lambda = \eta_{\rm Ce} / M_{\rm Ce} \langle \omega^2 \rangle_{\rm Ce} + \eta_{\rm O} / M_{\rm O} \langle \omega^2 \rangle_{\rm O}$$
(3)

where  $\langle \omega^2 \rangle_{\alpha}$  is the mean squared phonon frequency for atom  $\alpha$ . Owing to this separation the heavy Ce atom vibrates mainly in the acoustic range of frequencies while the light O atom vibrates in the optical range. Neglecting the contribution of electron-optical phonon interaction to  $\lambda$ , we have

$$\lambda \simeq \eta_{\rm Ce} / M_{\rm Ce} \langle \omega^2 \rangle_{\rm Ce}. \tag{4}$$

The electron-ion interaction constant  $\eta_{\alpha}$  has been calculated using the rigid muffin-tin approximation of Gaspari and Gyorffy (1972):

$$\eta_{\alpha} = \frac{2E_{\rm F}}{\pi^2 N(E_{\rm F})} \sum_{l} (l+1) \sin^2(\delta_{l+1}^{\alpha} - \delta_{l}^{\alpha}) \frac{N_l^{\alpha} N_{l+1}^{\alpha}}{N_l^{(1)\alpha} N_{l+1}^{(1)\alpha}}.$$
(5)

In this expression,  $\delta_l^{\alpha}$  are the scattering phase shifts,  $N_l^{(1)\alpha}$  are the single-scatterer DOSS and  $N_l^{\alpha}$  are the DOSS for atom  $\alpha$  and angular momentum l at  $E_{\rm F}$ .

Butler (1977) has found that  $\langle \omega \rangle^{1/2} = 0.69 \theta_D$ ,  $\theta_D$  being the Debye temperature. Since there is no experimental value of  $\theta_D$ , we have calculated  $\theta_D$  from the relation (Hama and Watanabe 1986)

$$\theta_{\rm D} = (\hbar V / k_{\rm B} R_{\rm e}) [-\frac{5}{2} (1/M_{\rm Ce} + 1/M_{\rm O}) (P' + 4P/3V)]^{1/2}$$
(6)

where  $R_e$  is the intra-atomic distance of CeO at volume V and P' is the first derivative of P with respect to V. P' has been calculated from the experimental value of the bulk modulus (Vedel *et al* 1986). The values of P' are  $-30.00/V_0$  GPa below a pressure of 3 GPa and  $-201.176/V_0$  GPa above a pressure of 3 GPa. The calculated values of  $\theta_D$  at normal and high pressures ( $V = 0.85V_0$ ,  $V_0$  being the volume at normal pressure) are found to be 301.2 K and 646.3 K, respectively.

		l	$\delta_t$	$\eta_{l,l+1} (\mathrm{eV}\mathrm{\AA}^{-2})$	$\eta ({\rm eV}{\rm \AA}^{-2})$
$\overline{a_1}$	Ce	0	-1.371		
a.	Ce	1	-0.748	0.170	
$a_1$	Ce	2	0.649		0.580
$a_1$	Ce	3	0.674	0.410	
$a_1$	Ce	4	0.0005		
$a_1$	Ο	0	-1.311	0.006	
$a_1$	0	1	-0.804	0.160	0.166
	~	0	1 550	0.001	
$a_4$	Ce	0	-1.552	0.001	
$a_4$	Ce	1	-0.893	0.341	
$a_4$	Ce	2	0.633		0.908
$a_4$	Ce	3	0.579	0.566	
$a_4$	Ce	4	0.0007		
$a_4$	0	0	-1.426	0.010	
$a_4$	0	1	-0.888	0.237	0.254
$a_4$	0	2	0.016	0.005	

**Table 6.** Phase shifts  $\delta_l$  and contribution  $\eta_{l,l+1}$  to  $\eta$ .

The values of  $\delta_l^{\gamma}$  and the contribution to  $\eta$  up to the transitions with  $l = 3 \leftrightarrow 4$  for Ce and  $l = 1 \leftrightarrow 2$  for O atoms are shown in table 6. The main contribution to  $\eta_{Ce}$  comes from  $p \leftrightarrow d$  and  $f \leftrightarrow g$  transitions in Ce atoms at both normal pressure and high pressures. The calculated values of  $\lambda$  and  $\gamma$  are 0.054 and 5.45 mJ mol<sup>-1</sup> K<sup>-2</sup> at normal pressure and 0.017 and 3.07 mJ mol<sup>-1</sup> K<sup>-2</sup> at high pressure. Since there is no experimental value of  $\gamma$ , we are unable to compare the  $\gamma$ -value with experiment but the values of  $\gamma$  are quite near to the value observed for CeN (Danan *et al* 1969).

#### 5. Optical properties

The optical absorption spectra  $\varepsilon_2(\alpha)$ , the imaginary part of the dielectric function, is given by

$$\varepsilon_{2}(\omega) = \frac{e^{2}}{\pi m^{2} \omega^{2}} \sum_{\substack{n_{i}(\text{occupied})\\n_{f}}} \int_{B2} |P_{fi}|^{2} \delta[En_{f}(\mathbf{k}) - En_{i}(\mathbf{k}) - \hbar\omega] \,\mathrm{d}^{3}k \tag{7}$$

where  $\hbar\omega$  is the energy of incident light and  $P_{\rm fi}$  is the optical matrix element. The *k*-space integral over the delta function alone yields the joint density of states (JDOS). Thus, if the matrix elements  $P_{\rm fi}$  are assumed to be constant throughout the Brillouin zone, then

$$\varepsilon_2(\omega) \propto \text{JDOS}/\omega^2$$
. (8)

We have calculated the JDOS by the tetrahedron method in a similar way to the DOS calculation.

The calculated  $\varepsilon_2(\omega)$  spectra at normal and high pressures are shown in figure 6. As there are no experimental results so far, we cannot compare our calculated  $\varepsilon_2(\omega)$  with experiment, but we can explain the peaks and structures observed in  $\varepsilon_2(\omega)$  spectra in terms of inter-band transitions. The peak at around 1 eV is due to the transition from around  $\Gamma_{2'}$  to around  $\Gamma_{25'}$ . The small structure around 3.5 eV arises from the transition from the second band along the  $\Delta$  axis, the third band along the  $\Sigma$  axis, the second and third bands along the Z axis and the second band along the  $\Lambda$  axis to f bands. The small structure at around 7 eV is due to the transition at around L<sub>1</sub> to f bands. From figure 6, we observe that the positions of peaks and structures shift towards higher-energy positions on increase in pressure. The reason for this shift towards a higher energy is that the Fermi energy rises owing to the increase in the number of conduction electrons with increasing pressure. We also find that the large peak at around 5.5 eV becomes broader on increase in the pressure.



**Figure 6.**  $\varepsilon_2(\omega)$  spectra for two lattice constants  $a_1$  and  $a_4$ .

### 6. Conclusion

Vedel *et al* (1986) found experimentally a volume decrease of 8% between the normal pressure and 3 GPa. This volume decrease is about half the value of 17% observed in the  $\gamma$ -to- $\alpha$  transition of Ce. From our band-structure calculation, we find that between normal pressure and 3 GPa the change in 4f-band width is about 12% which is about one fifth of the value found at the  $\gamma$ -to- $\alpha$  transition of Ce (Pickett *et al* 1981) and about one

quarter of the value found at the Pr(III)-to-Pr(IV) transition (De and Chatterjee 1987). Thus the 4f shell of Ce in CeO is not very delocalised compared with the volume change. We also find that the 4f–5d hybridisation increases slightly on increase in the pressure. Thus the pressure–volume behaviour of CeO may not be due to the increased 4f–5d hybridisation. However, the valency of Ce in CeO calculated from the band-structure results increases from 3.0 at normal pressure to 3.26 at high pressures.

The valency obtained at high pressures is in good agreement with the experimental value of 3.3 (Vedel *et al* 1986). Thus the pressure-volume behaviour of CeO can be interpreted by a continuous increase in valence similar to the explanation of the  $\gamma$ -to- $\alpha$  transition suggested by Wohlleben and Rowler (1984). However, we believe that the volume-dependent Kondo effect (Lavagna *et al* 1983) may also be responsible for this behaviour, but a study of this is beyond the scope of the present work.

## References

Allen J W and Martin R C 1982 Phys. Rev. Lett. 49 1106 Butler W H 1977 Phys. Rev. B 15 5267 Danan J, de Novin C and Lallement R 1969 Solid State Commun. 7 1103 De S K and Chatterjee S 1987 J. Phys. F: Met. Phys. 17 2057 Forberovich OV, Nizhnikova GP, Vlasov SV and Domashevskava EP 1984 Phys. Status Solidi b 121 241 Gaspari G P and Gyorffy B L 1972 Phys. Rev. Lett. 28 801 Hama J and Watanabe M 1986 Phys. Lett. 115A 287 Hill H H 1970 Nucl. Metall. 17 2 Jayaraman A, Batlogg B, Maines R G and Bach H 1982 Phys. Rev. B 26 3347 Jepsen O and Andersen O K 1971 Solid State Commun. 9 1763 Klein B M and Papaconstantopoulos D A 1976 J. Phys. F: Met. Phys. 6 1135 Koelling D D and Arbman G O 1975 J. Phys. F: Met. Phys. 5 2041 Koelling D D and Harmon B N 1977 J. Phys. C: Solid State Phys. 10 3107 Lavagna M, Lacroix C and Cyrot M 1983 J. Phys. F: Met. Phys. 13 1007 Leger J M, Yacoubi N and Loriers J 1981 J. Solid State Chem. 36 261 Lehman G and Taut M 1972 Phys. Status Solidi b 54 469 MacDoland A H, Pickett W E and Koelling D D 1980 J. Phys. C: Solid State Phys. 13 2675 Pickett W E, Freeman A J and Koelling D D 1981 Phys. Rev. B 23 1266 Pickett W E and Klein B M 1983 J. Less-Common Met. 93 219 Vedel I, Redon A M and Leger J M 1986 J. Phys. C: Solid State Phys. 19 3549 Von Barth U and Hedin L J 1972 J. Phys. C: Solid State Phys. 5 1629 Wohlleben D and Rowler J 1984 J. Appl. Phys. 55 1904